

# ***Animal testing in toxicology: Does it work?***

## **Thomas Hartung**

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Director, Center for Alternatives to Animal Testing (CAAT)**

**Joint appointment: Molecular Microbiology and Immunology**

**Bloomberg School of Public Health, Johns Hopkins University, Baltimore, US**

**Professor of pharmacology and toxicology, University of Konstanz, Germany**



Johns Hopkins University  
Center for Alternatives  
to Animal Testing

**Promoting  
for 29 years  
alternatives  
to animal  
testing  
where they  
are not fit  
for purpose**

## ***CAAT – the information and communication hub***

**\$**

- **Global clearinghouse; 26 member project team**
- **5.000 individual visitors per month, 8.000 fans on facebook**
- **Workshops, info days, stakeholder networks**
- **Lecture and courses, open source**
- **ALTEX, CAATfeed, CAATwalk**
- **Funding program (\$7.1 million, 350 grants),**



# Creation of CAAT- Europe in 2010



- **CAAT is the only transatlantic competence center for 3Rs**
- **EU excellence center**
- **US/EU dialogue**



**You too can be a  
toxicologist in two  
easy lessons,  
each of ten years.**

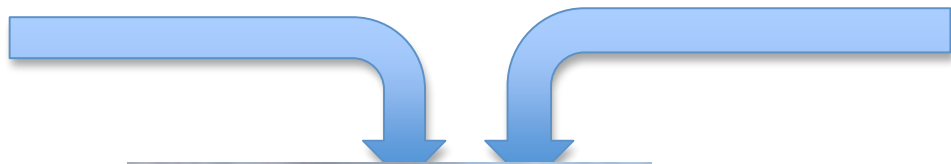
**Arnold F. Lehman, FDA**



**\$3 trillion**



**\$3 trillion**



**Animal testing  
at \$3 billion per year**



**\$200 billion**



**\$3 trillion**





**Regulatory  
Toxicology  
desperately  
needs to  
renew its  
toolbox**



# *Some limitations of toxicology*



- **Species differences**
- **Predictive capacity: false negatives and false positives (precautionary)**
- **Through-put**
- **Animal use**
- **High-dose to low-dose extrapolation**
- **Poor statistics**
- **Traditions - little adaptation to scientific progress, not knowledge- and hypothesis-driven**
- **Not applicable to new products**
- **Costs**
- **Lack of scientific control mechanisms**





**R22 harmful if swallowed  
(LD<sub>50</sub> = 150-200mg/kg in rats)**

**R 36 irritant to eyes**

**R 37 respiratory irritant**

**R 38 irritant to skin**

**Not carcinogenic,  
but co-carcinogen (promotor)**

**Unclear mutagenicity**

**Embryonic malformations in  
cat, dog, rat, mice, rabbit,  
monkey**

***Unlikely to be brought to the  
market today***

## *Actual use of aspirin*



- **> one million billion doses taken**
- **50,000 tons produced and 35,000 tons consumed per year**
- **>23,000 scientific papers on aspirin**
- **74 percent of the US population regards Aspirin as the eighth wonder of the world**
- **840 million \$ sales per year (35-40% in US)**
- **Britons: average 70 per person per year**
- **Even used for pre-eclampsia in pregnancy**



There is some good reason for regulating new products....

**BAYER**  
**PHARMACEUTICAL PRODUCTS.**

Send for samples and Literature to

**ASPIRIN**  
*The substitute for the salicylates*

**HEROIN**  
*The sedative for coughs*

**LYCETOL**  
*The uric acid solvent*

**SALOPHEN**  
*The antirheumatic and antineuralgic*

**FARBENFABRIKEN OF ELBERFELD CO.**

**40 STONE ST NEW YORK.**





## *Some chemicals produce unknown health effects*

**the problem:**

- **70 million chemicals synthesized**
- **100.000 chemicals in consumer products and environment**
- **2-3.000 chemicals extensively tested**
- **mixtures?**
- **natural substances?**

# *Forerunner* **REACH**



picture  
© ChemSec

**Originally expected:**

- 180.000 pre-registrations by about 27.000 companies
- 30.000 substances

**State of the play 12'08:**

- > 2,7 million pre-registrations by about 65.000 companies
- 144.000 substances

**Hartung&Rovida, Nature 2009**

- 68.000+ chemicals
- > 54 mill. animals
- > 9 bill. €

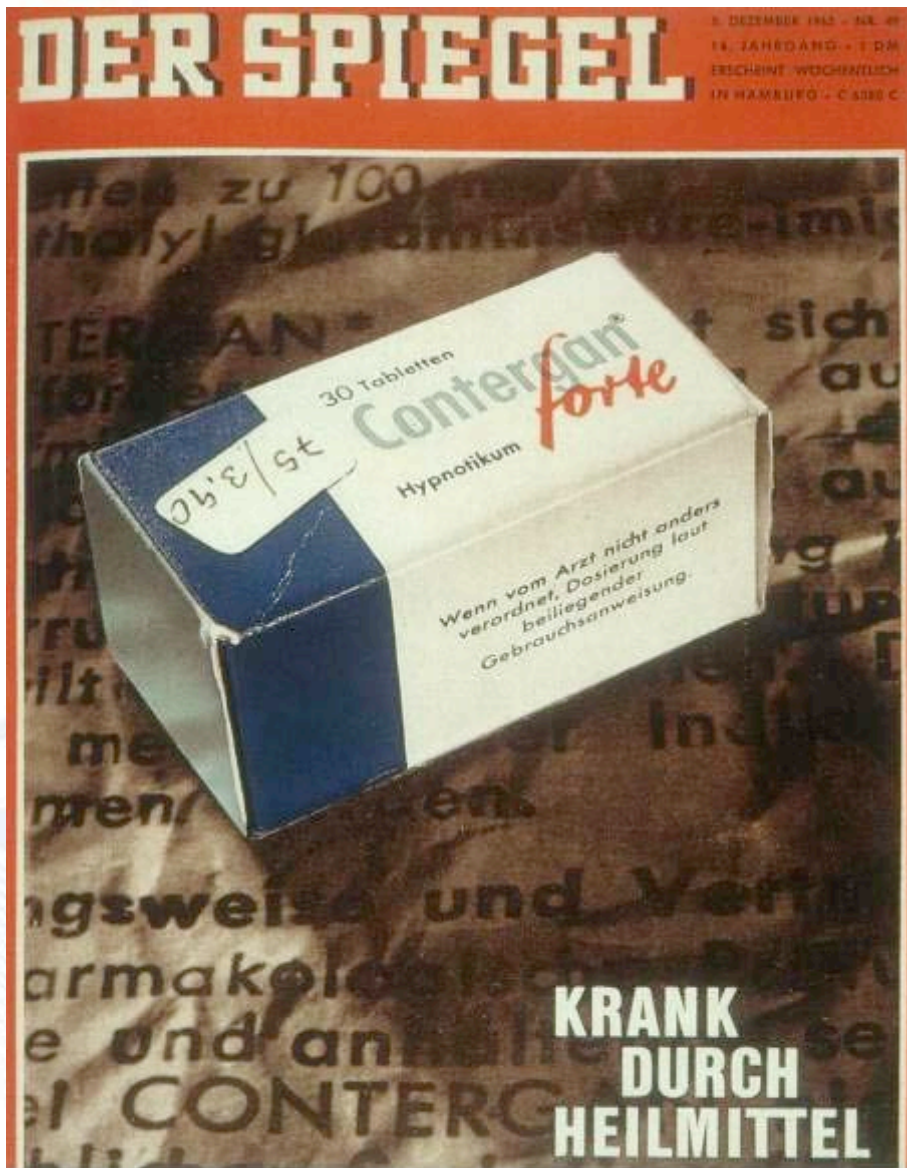
# ***Toxic Substance Control Act***

**(1976, no major amendments; regulates manufacture of chemicals in commerce)**

- **Screening of existing chemicals**
- **Burden of proof with EPA**
- **Original TSCA inventory**
  - **55,000 ‘old’ chemicals**
- **Today’s TSCA inventory**
  - **88,000 chemicals**
- **Pre-marketing notification for new chemicals**
  - **Only 15% contain toxicology data**
  - **24,000 received only for 200 EPA required more testing**







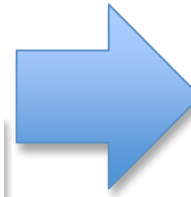
Titelbild des Nachrichtenmagazins »Der Spiegel« vom 5. Dezember 1962



Contergan<sup>®</sup>  
Thalidomide children



# Interspecies prediction of cancer



**Correlation 57%**





## **Animal test    Cancer**

**18-24 months**

**\$1-1.5 million**

**600 animals**

**53% positive\***

**Estimate human 5-20% positive**



## *Diagnosis: toxic! - Trying to apply approaches of clinical diagnostics and prevalence in toxicology considerations*



**Thought starter:**

**Healthy European without HIV risk factors: Prevalence of infection is 1:10.000**

**The result of 99.9% accurate test is positive**

**Testing 10.000 people with this test will result in 1 real-positive but 10 false-positive**

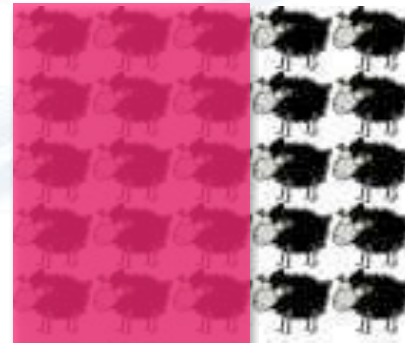
**Probability of HIV infection:  $1/11 = 9\%$**



# Example reprotox study in two species to find “black sheep” among chemicals

Test substances

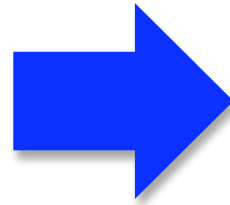
60% 1<sup>st</sup> species    60% 2<sup>nd</sup> species





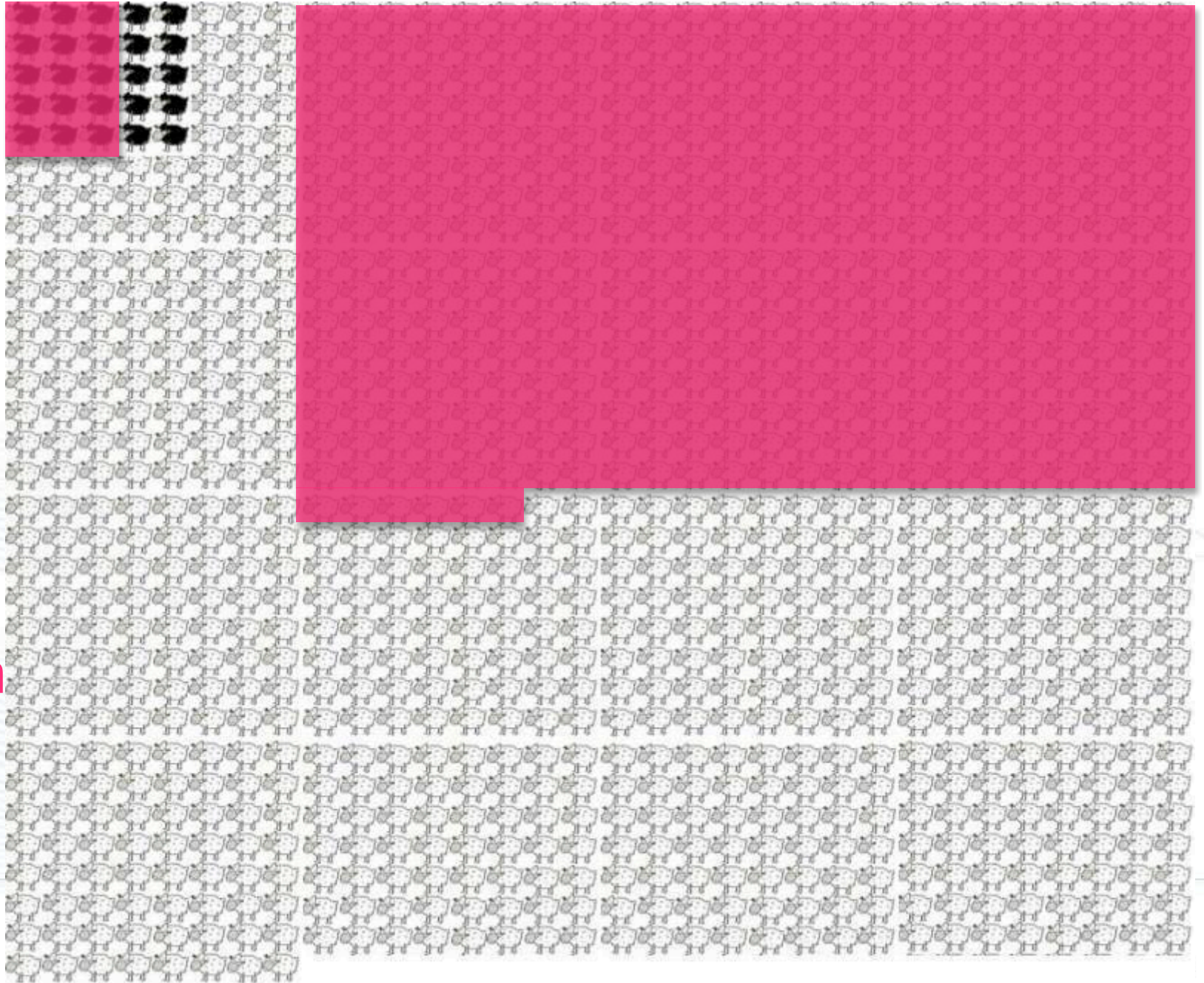


**The real situation:  
black sheep  
are rare**



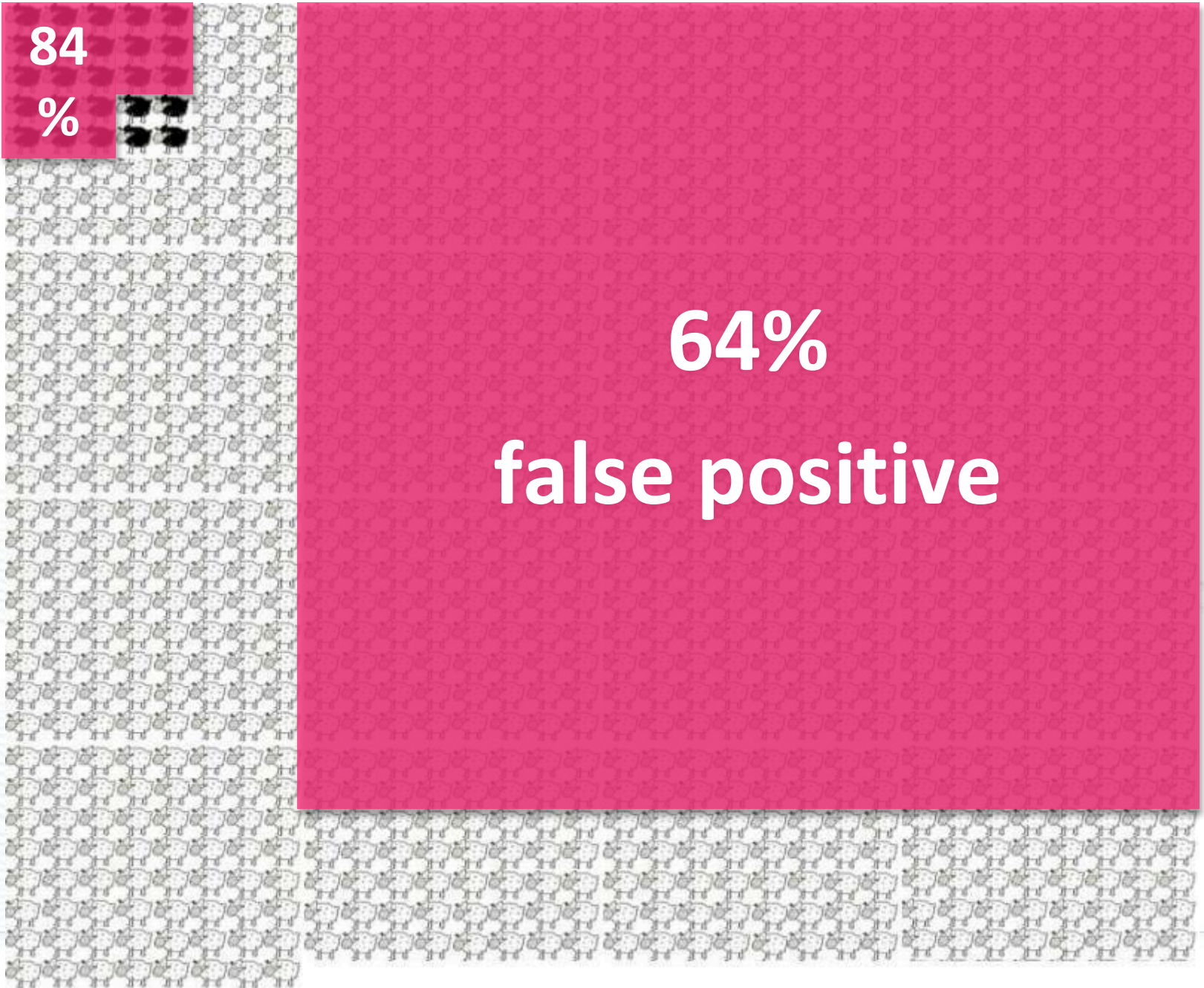


**2-3%**  
**reproductive**  
**toxicants**  
**among**  
**chemicals**



**1<sup>st</sup>**  
**Species**  
**Two-gen**  
**positive**  
**results**





Plus 2<sup>nd</sup>  
Species  
One gen

Pos.  
results

*We forget the compromises made  
when introducing tests over time*

**Learning from experience may  
be nothing more than learning  
to make the same mistakes with  
increasing confidence.**

**Petr Skrabanek, James McCormick  
Follies and Fallacies in Medicine  
Tarragon Press, Glasgow, 1989**





DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE  
FOOD AND DRUG ADMINISTRATION  
WASHINGTON, D.C. 20204

*EVB*  
*Yct. EAI*

March 1, 1966

Procter and Gamble Company  
Ivorydale Technical Center  
Cincinnati 17, Ohio

Attention: Dr. Fred H. Snyder

Gentlemen:

During the past several years following the thalidomide episode, we have been recommending a study designed to determine the potential of drugs for producing adverse effects on the reproductive process. The guidelines for this study reflected a modification of a test used for many years by the food industry to provide evidence of safety of food additives. The introduction of the two-litter test appeared to offer a reasonable approach to the over-all problem of assessing the safety of drugs on reproduction. It was anticipated that the two-litter test would prove an adequate screening procedure for the elucidation of adverse effects of a new drug on the reproductive process and that such effects could be subjected to a critical evaluation.

modifications be necessary, they can be instituted earlier. Of paramount importance, of course, is that studies designed along the lines of our new recommendations should yield more meaningful data upon which to base an evaluation of safety.

It must be realized that even these improved guidelines reflect merely the "state of the art" at the present time, and undoubtedly further modifications will be needed in the future as additional knowledge in this area is developed. We hope these suggestions will prove helpful.

Sincerely yours,



Edwin I. Goldenthal, Ph.D.  
Chief, Drug Review Branch  
Division of Toxicological Evaluation  
Bureau of Scientific Standards  
and Evaluation

Enclosure

# *Outdated technologies when safety is at stake?*



**20ies: LD<sub>50</sub> for acute toxicity**

**30ies: chronic toxicity**

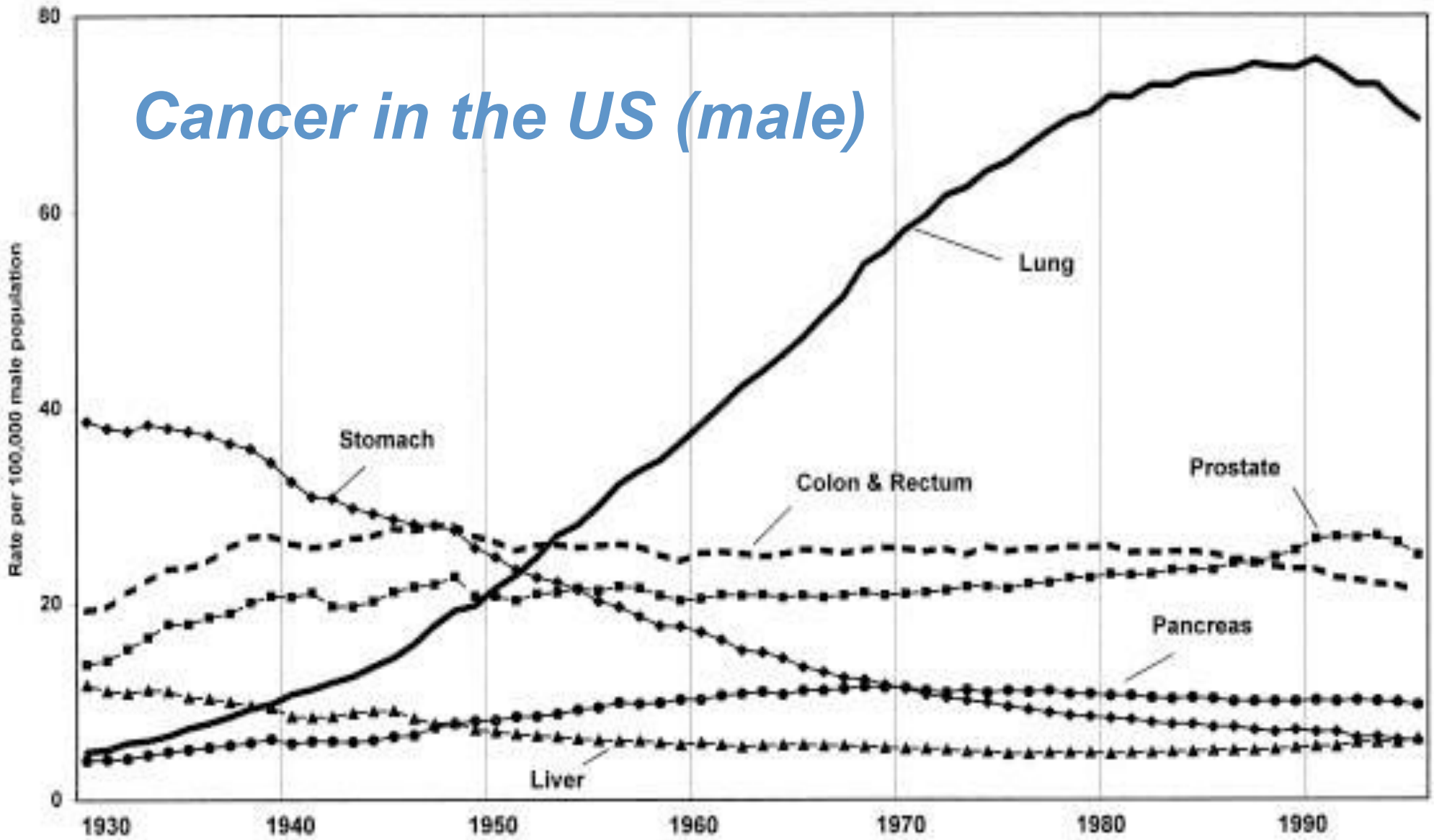
**40ies: eye and skin irritation**

**60ies: reproductive tox.**

**70ies: cancer**



# Cancer in the US (male)



## *Cancer bioassay results*

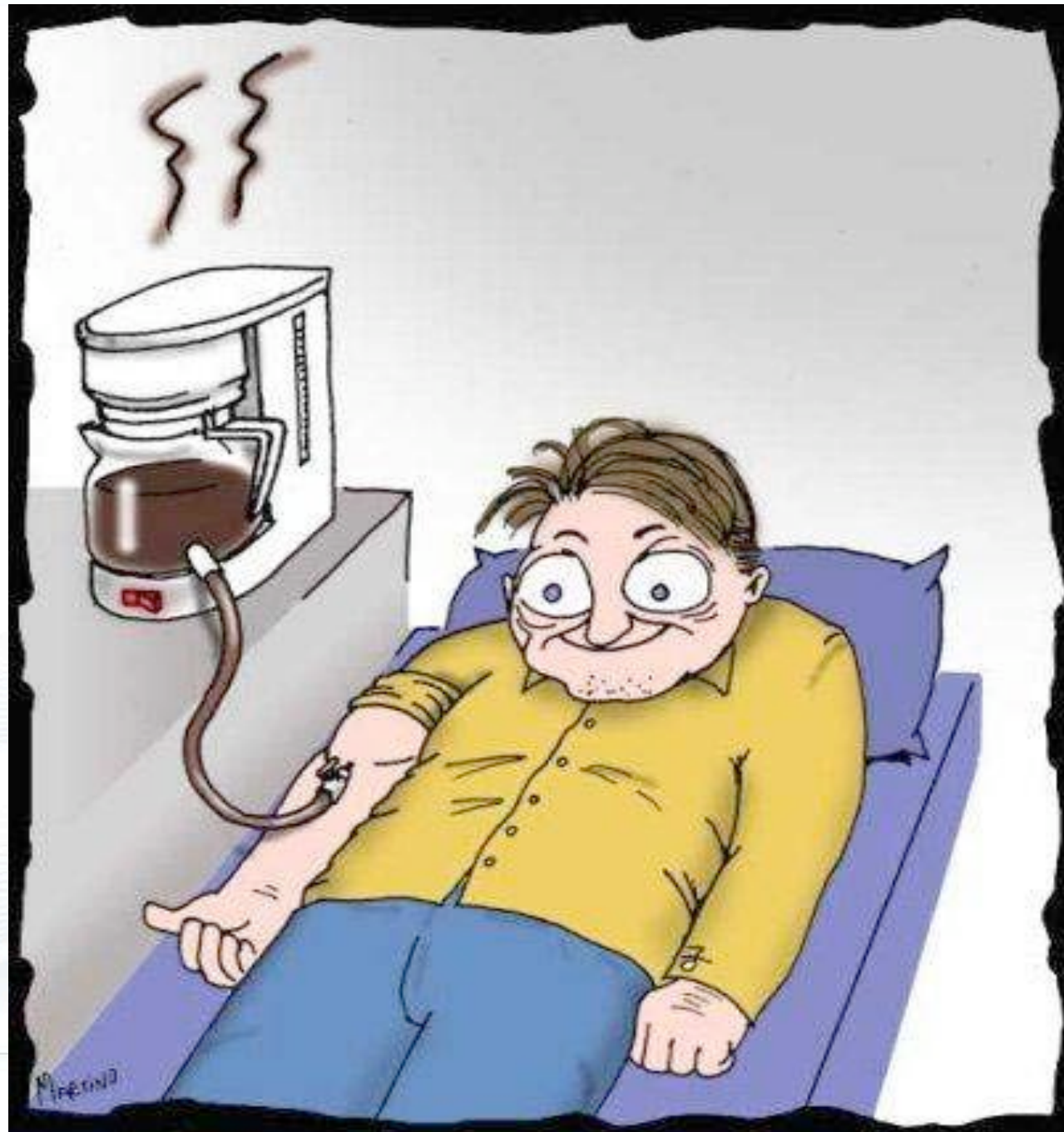
**[Ames & Gold 2000]**



	Proportion	% pos
Chem. tested in rats and mice	350 / 590	59 %
- natural	79 / 139	57 %
- synthetic	271 / 451	60 %
Chem. tested in rat or mice	702 / 1348	52 %
- Natural pesticides	37 / 71	52 %
- Chem. in coffee	21 / 30	70 %
- Mold toxins	14 / 23	61 %
Drugs (PDR)	117 / 241	49 %
Drugs (FDA)	125 / 282	44 %



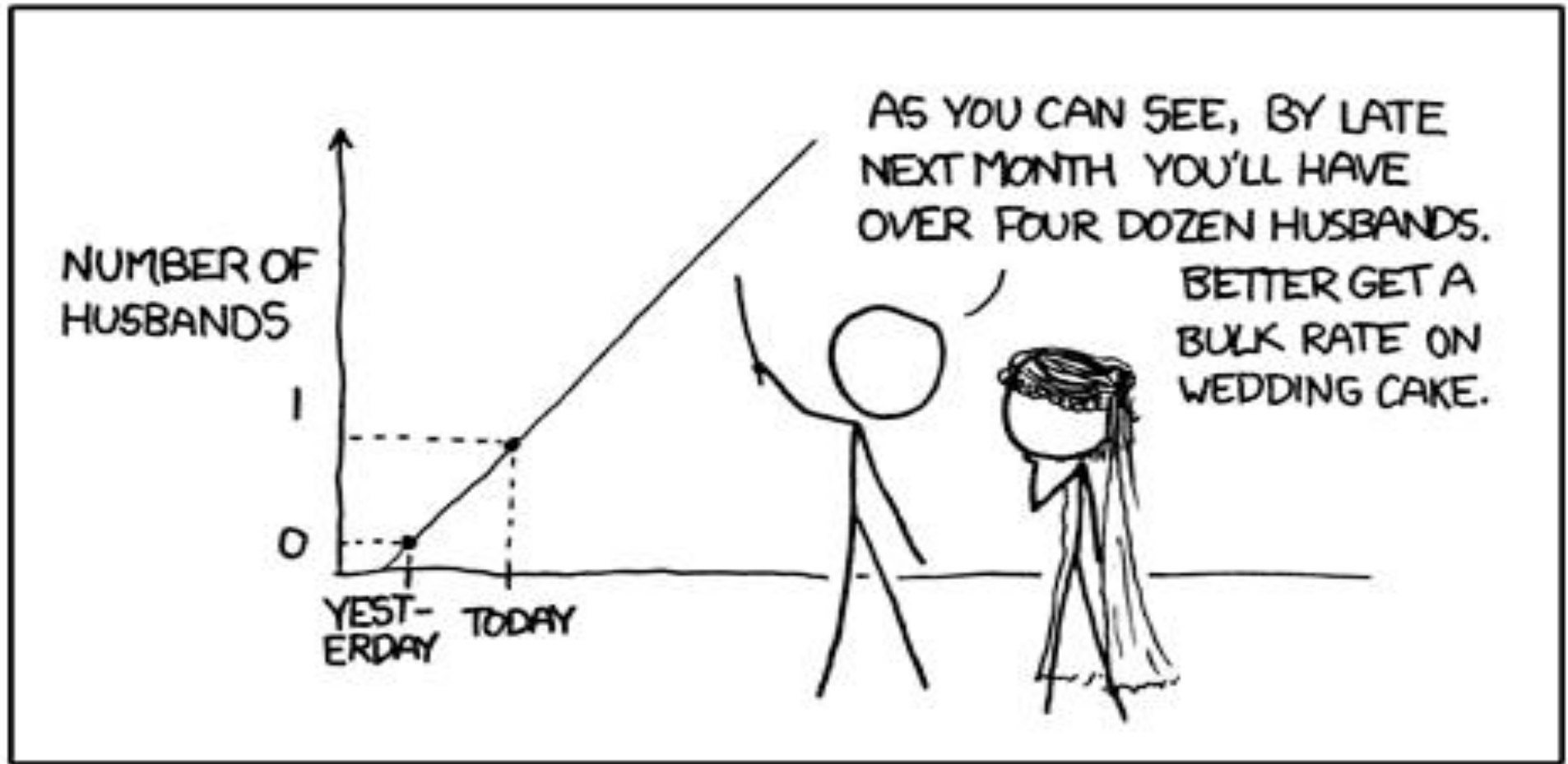
**Despite various carcinogens, coffee drinking is actually reducing liver cancer**



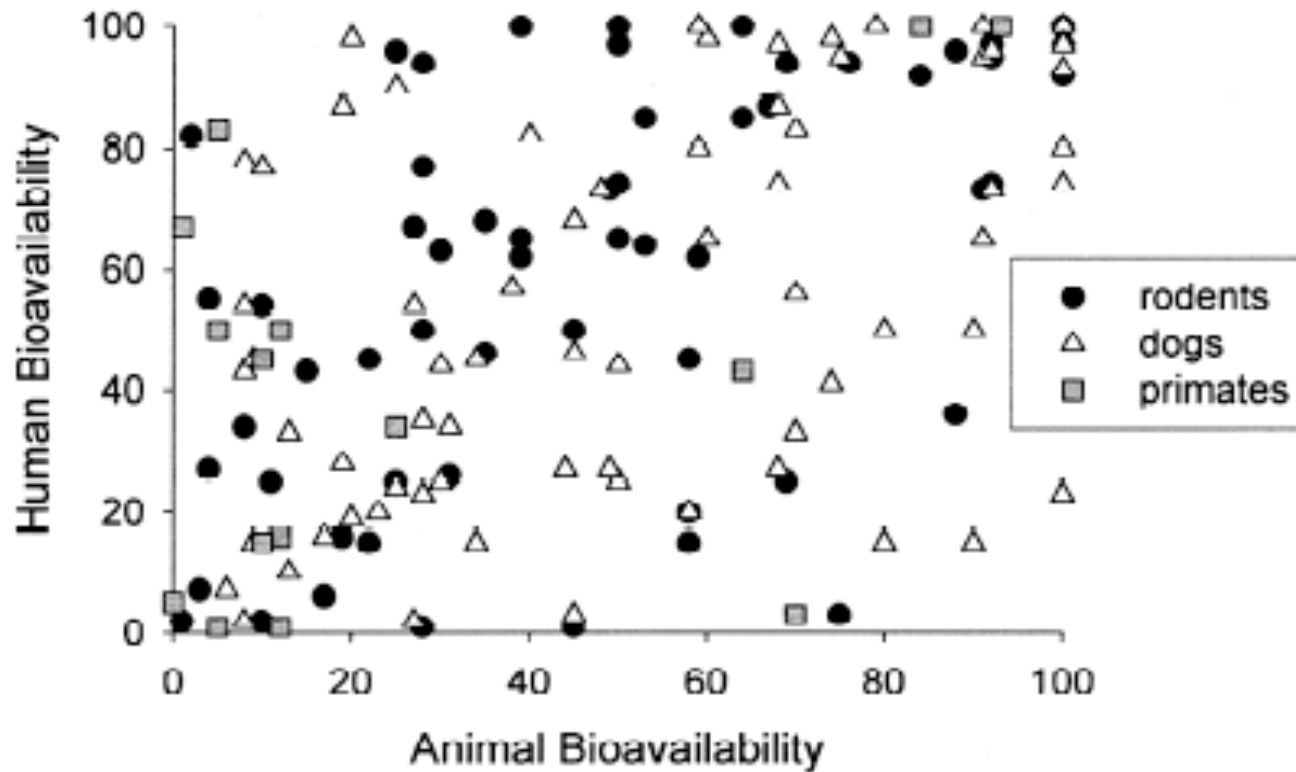


# Human Exposure vs. Test Doses

MY HOBBY: EXTRAPOLATING



## *How can we do quantitative risk assessment, if already oral bioavailability differs dramatically?*



**Grass GM and Sinko PJ. Adv Drug Delivery Rev 2002, 43:433-451**

***Testing multiple statistical hypotheses resulted in spurious associations:  
a study of astrological signs and health***

**PC Austin et al., J. Clin. Epid. 59, 964-969, 2006**



**Study:**

**All 10,674,945 residents of Ontario (18-100 years) in 2000. Randomly assigned to equally sized derivation and validation cohorts and classified according to their astrological sign.**

**Derivation cohort searched for 223 of the most common diagnoses.**

**Results:**

**24 associations tested in validation cohort:**

**Leo → gastrointestinal hemorrhage (P=0.0447)**

**Sagittarians → humerus fracture (P=0.0123)**

**Conclusions: Testing of multiple, non-prespecified hypotheses increases the likelihood of detecting implausible associations.**

**In toxicology: 28d study → 40 endpoints, cancer bioassay → 60 endpoints  
two-generation study → 80 endpoints**





**We can not model all known human carcinogens in animals:**

- no animal model of cigarette smoke induced lung cancer,
- no rodent leukemia by benzene, and
- no genetic mutations in animals by arsenic

**[Silbergeld, 2004]**



## *Problems of the cancer assay*



- **Maximum tolerated dose (up to 10% of animals die from direct toxicity)  
= necrosis = inflammation = promotion**
- **Multiple testing: >60 endpoints**
- **Cost and through-put:  
Europe: in 30 years 14 of 4.500 new chemicals tested**
- **57% concordance of different protocols**
- **Variability:  
200 instead of 50 animals, would mean 92% instead of 53% of substances positive [Gaylor 2004]**
- ***A chemical which is not positive has not been tested long enough.***

## Regulatory “over-kill”?



- **TCDD (human carcinogenicity unclear) regulated on high-dose animal data at 6fg/day “reference dose” (formerly “acceptable dose limit”) comparison to alcohol:**

**one beer in 345 years**

**[Ames et al., 1990]**



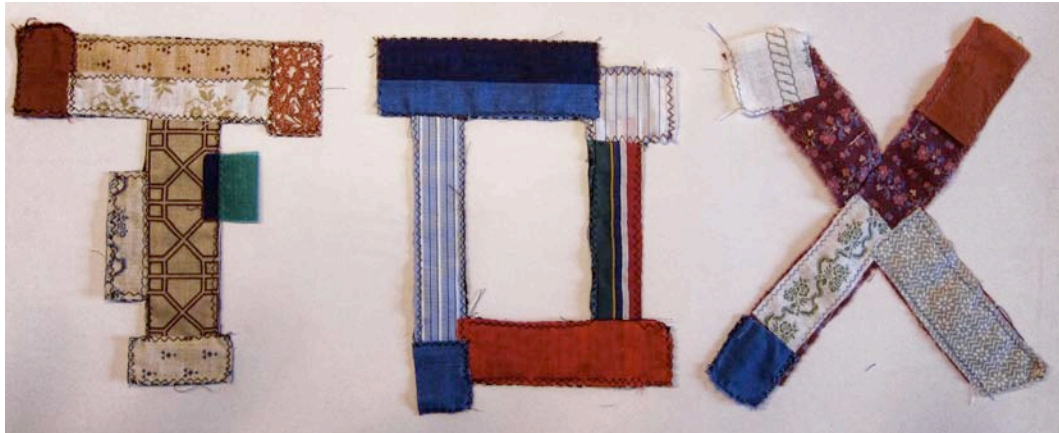




## *Chemophobia?*

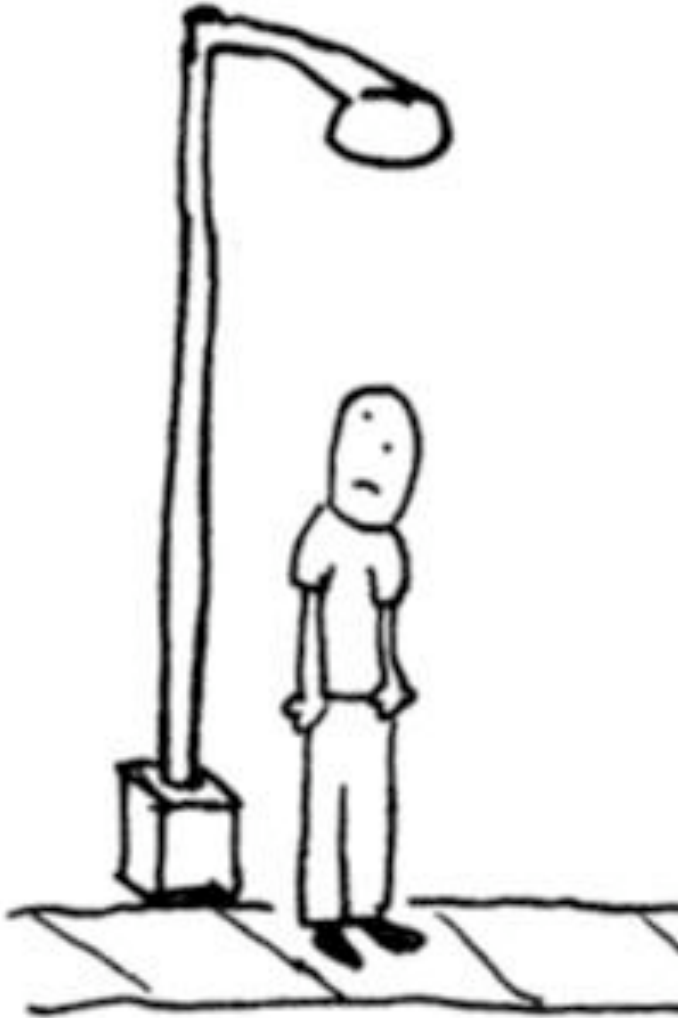
**Chemicals are estimated to be cause of 2% of cancer cases**

*The dose makes the poison*



## *The evolution of toxicology: patchwork*

- **Every scandal gives one patch.**
- **Many patches are 50-80 years old.**
- **No way to remove a patch.**
- **Difficult to integrate new technologies.**
- **Every patch is of its own appearance and workmanship.**



**A man is looking for his keys  
under the street light in the night.**

**“Did you lose them here?”**

**“No, but here I have light!”**